PTO/SB/21 (01-03)

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Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/656,798 TRANSMITTAL Filing Date September 5, 2003 FORM First Named Inventor Jones, Peter (to be used for all correspondence after initial filing) Art Unit 3624 Examiner Name Not yet assigned Attorney Docket Number G06.015 Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication Fee Transmittal Form Supplemental Information Disclosure Statement and Form to Group 1449A/PTO Appeal Communication to Board Tee Attached Licensing-related Papers of Appeals and Interferences Petition Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert to a Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer X Other Enclosure(s) (please Extension of Time Request Identify below): Acknowledgement postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kurt M. Maschoff Individual MM Signature January 2, 2004 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: January 7, 2004 Type or printed Jill Holme

This collection of information is equaled by \$\overline{G}_{\text{FR}}\$T 1.5. The information is required to obtain or retain a benefit by the public which is to lie (and by the USPTO) or process) an application. Confidentially by growned by \$8.0 LCL 22 and 37 CFR 1.4. This collection is estimated to bial les (initialists to complete industry) and process and submitting the completed application tem be the USPTO. Then will very depending upon the individual case. Any comments on the amount of time your require to complete this form and/or supperforms for medicine by the burden, should be sent the "Deliff information Officer, U.S. Pattern and Target Afficer, U.S. Department of Commence, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20221.

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Date

January 7, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: JONES et al.

Application No.: 10/656,798

Filing Date: September 5, 2003

For: PAYMENT CARD PROCESSING SYSTEM AND METHODS Group Art Unit: 3624

Examiner: Not yet assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: G06.015

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Five Elm Street

New Canaan, CT 06840 (203) 972-0006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Attorney Docket No.: G06.015

The items listed herein were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of this communication is enclosed.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed prior to the mailing date of a final office action on the merits.

Respectfully submitted,

January 6, 2004 Date

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Substitute for form 1449A/PTO				Complete if Known				
				Application Numb r	10/656,798			
INFO	RMATION D	ISC	LOSURE	Filing Date	September 5, 2003			
STAT	TEMENT BY	ΑP	PLICANT	First Named Inventor	Jones, Peter			
				Group Art Unit	3624			
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U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	U.S. Patent Do	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	A	5,544,246		Mandelbaum	08/06/1996			
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^{*}EXAMINER: Initial II reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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